

Docket No. 295058US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kiyoshi SHIMIZU, et al.

SERIAL NO: New US PCT Application Based on PCT/JP05/02610

GAU:

FILED: Herewith

EXAMINER:

FOR: COMPOUND HAVING TGF-BETA INHIBITORY ACTIVITY AND PHARMACEUTICAL COMPOSITION
CONTAINING SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, MCCLELLAND,
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 295058US0PCT		SERIAL NO. New US PCT Application Based on PCT/JP05/02610		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kiyoshi SHIMIZU, et al.				
				FILING DATE Herewith		GROUP		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
		AA						
		AB						
		AC						
		AD						
		AE						
		AF						
		AG						
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
/K.B./	AH	2001 500890	01/23/2001	JP (equivalent of WO 98 13350)			NO	
	AI	2003 033472	04/24/2003	WO (equivalent of US 2005 049264)			NO	
	AJ	2004 018430	03/04/2004	WO			NO	
	AK	2004 063365	07/29/2004	WO (with English abstract)			NO	
	AL	1 246263	10/02/1989	JP (with English abstract)			NO	
	AM	2002 536414	10/29/2002	JP (equivalent of WO 2000 47212)			NO	
	AN	2000 515114	11/14/2000	JP (equivalent of WO 97 22596 and US 5 962 458)			NO	
	AO	95 15758	06/15/1995	WO (equivalent of US 5 480 883)			NO	
	AP	95 24190	09/14/1995	WO			NO	
	AQ	01 02409	01/11/2001	WO			NO	
	AR	4 217685	08/07/1992	JP (with English abstract)			NO	
		AS						
		AT						
		AU						
		AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
▼	AW	YAGI et al., "SELECTIVE INHIBITION OF PLATELET-DERIVED GROWTH FACTOR (PDGF) RECEPTOR AUTOPHOSPHORYLATION AND PDGF-MEDIATED CELLULAR EVENTS BY A QUINOLINE DERIVATIVE", EXPERIMENTAL CELL RESEARCH, Vol. 234, pages 285-292, 1997						
	AX							
	AY							
	AZ							
Examiner /Kristin Bianchi/					<input type="checkbox"/> Additional References sheet(s) attached Date Considered 11/17/2009			

*Examiner: initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.